



PRIVACY POLICY

Protecting your personal information is an important priority for us. Our privacy policy is designed to support this objective. We may collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or on other forms; correspondence or conversations, such as your name, address, social security number, assets, income and date of birth.
- Information about your transactions with us, our affiliates or others, such as your account numbers and balances, transaction history, parties to transactions, cost basis information and other financial information.

We do not disclose any nonpublic information about our current or former consumers or consumers to nonaffiliated third parties, except as permitted by law.

For example, if any assets are invested in our affiliated mutual funds, the KEELEY Funds, Inc., we would disclose information to it and its service providers. We do not otherwise disclose any of that information. We restrict access to your nonpublic information to those persons who require such information to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

March 16, 2018